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INDICATION FORM**

Application Number	10/661,170
Filing Date	September 11, 2003
First Named Inventor	Richard A. Holl
Title	Methods and Apparatus for High-Shear Mixing and Reacting
Art Unit	
Examiner Name	
Attorney Docket Number	58035-013100

I hereby appoint:

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33717

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name Philip L. Lichtenberger, Executive Vice President and COO

Signature

Date

Telephone 805 389 3499

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Richard A. Holl

Application No./Patent No.: 10/661,170 Filed/Issue Date: 09/11/2003

Entitled: Methods and Apparatus for High-Shear Mixing and Reacting of Materials

Holl Technologies Company, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014002, Frame 0232, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

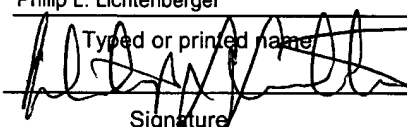
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

October 24, 2003
Date
(805) 389-3499
Telephone number

Philip L. Lichtenberger
Typed or printed name

Signature
Executive Vice President and COO
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTAS

GREENBERG TRAURIG, LLP
CHRISTOPHER DARROW
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STE. 400 E
SANTA MONICA, CA 90404



700045573A

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RECORDATION DATE: 09/25/2003

REEL/FRAME: 014002/0232
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HOLL, RICHARD A.

DOC DATE: 09/11/2003

ASSIGNEE:

HOLL TECHNOLOGIES COMPANY
1140 AVENIDA ACASO
CAMARILLO, CALIFORNIA 93012

SERIAL NUMBER: 10661170
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

09-25-03

09:36

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T-804 P.001/003 F-180

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Form PTO-1595
(Rev. 10/02)

OMB No. 0851-0027 (exp. 6/30/2006)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard A. Holl

2. Name and address of receiving party(ies)

Name: Holl Technologies Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: 1140 Avenida AcasoCity: Camarillo State: CA Zip: 93012Execution Date: 9-11-2003Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/661,170

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher Darrow

Internal Address: _____

Street Address: Greenberg Traurig, LLP2450 Colorado Avenue, Suite 400 ECity: Santa Monica State: CA Zip: 904046. Total number of applications and patents involved: ☐7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

50-2638

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9. Signature.

Christopher Darrow, Reg. No. 30,166

Name of Person Signing



Signature

September 25, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

C-11 540 00 502500 10/03/170

ASSIGNMENT

WHEREAS, I, **Richard A. Holl**, the undersigned inventor (ASSIGNOR), have invented **METHODS AND APPARATUS FOR HIGH-SHEAR MIXING AND REACTING OF MATERIALS**, for which we filed an application for Letters Patent of the United States on September 11, 2003, and which was given **U.S. Patent Application No. 10/661,170**, and of which inventions and improvements I am the sole owner.

WHEREAS, **Holl Technologies Company**, (ASSIGNEE) is a corporation organized and existing under the laws of **California** having a place of business at **1140 Avenida Acaso, Camarillo, California 93012**. ASSIGNEE desires to acquire the entire right, title and interest in and to the inventions, applications and letters patent to be granted and issued for the inventions and applications.

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) by the ASSIGNEE to myself paid, and other valuable consideration, the receipt and legal sufficiency of all of which I acknowledge, I, the ASSIGNOR, have sold and do hereby sell, assign, transfer and set over unto the ASSIGNEE, its successors and assigns, the entire right, title and interest: in and to the inventions and all improvements thereon, in and to the application for letters patent thereon, in and to applications pertaining to or based upon the inventions and applications, including divisional and continuing applications and continuations-in-part, in and to any and all letters patent which may be granted and issued on the inventions and applications, or any of them, not only for, to and in the United States of America, its territories and possessions, but for, to and in all foreign countries, together with and including all priority rights based upon any and all applications in the United States of America covered by this Assignment, and in and to any right to sue for past infringement of the inventions, improvements, applications and letters patent.

And for the above-named considerations, we agree that we will, at the request of the ASSIGNEE, execute any and all applications for letters patent for the inventions and any and all other papers and documents and do all other and further lawful acts that the ASSIGNEE may deem necessary or desirable to obtain letters patent on the inventions, to secure the grant of such letters patent and to perfect and vest in the ASSIGNEE the entire right, title and interest in the inventions, applications and letters patent.

And for the above-named considerations, we authorize and empower the ASSIGNEE, its successors and assigns, to apply for and obtain, in its or their own names, letters patent for the inventions before competent International Authorities including the World Intellectual Property Office and in any and all countries foreign to the United States in which applications for letters patent can be so made or letters patent so obtained.

Dated: Sep. 11, 2003



Richard A. Holl

STATE OF California)

COUNTY OF Ventura) ss.:

The foregoing instrument was acknowledged before me this 11 day of Sept. in the year **2003** by Richard A. Holl, who is ☐ personally known to me or ☒ proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument, the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

Notary: 

Print Name: EDWARD BODE ADEMISOYE

Notary Public, State of CA

My commission expires: AUG. 11, 2004

NOTARY SEAL

